

THIS IS	THE	<b>BEGINNING</b> OF	F ADMINISTR	ATIVE FINE CA	ASE # <u>2060</u>
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DATE SCANNED 1/22/10

SCANNER NO. \_\_\_\_\_\_

SCAN OPERATOR **EES** 



April 3, 2009

### **MEMORANDUM**

SENSITIVE

TO:

THE COMMISSION

THROUGH:

ROBERT HICKEY

STAFF DIRECTO

FROM:

JOHN D. GIBSONQ

CHIEF COMPLIANCE OFFICER

PATRICIA CARMONA PL

ASSISTANT STAFF DIRECTOR

REPORTS ANALYSIS DIVISION

PM/N45

5P124I

BY:

NATALIYA IOFFE/RHIANNON MAGRUDER/SARI PICKERALL

COMPLIANCE BRANCH

SUBJECT:

**REASON TO BELIEVE RECOMMENDATION – 2008 YEAR-END** 

REPORT FOR THE ADMINISTRATIVE FINE PROGRAM

Attached is a list of political committees and their treasurers who failed to file the 2008 Year-End Report in accordance with 2 U.S.C. 434(a). The Year-End Report was due on January 31, 2009.

The committees listed in the attached RTB Circulation Report either failed to file the report, filed the report more than five (5) days but no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

### Recommendation

- 1. Find reason to believe that all other political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- 2. Send the appropriate letters.

# 10092650462

# Federal Election Commission Reason to Believe Circulation Report 2008 YEAR-END Not Election Sensitive 01/31/2009 H\_S\_P\_UNAUTH

AF#	AF# Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	5	PV Receipt Date Days Late	Days Late	FOA	Penalty
2043	C00444067	ALASKANS FOR CUDDY	cubdy, david W.	BRUCE E. ELIASEN	\$2,517,748.00	0		Not Filed	\$629,437 (est)	\$10,000
2044	2044 C00446476	AMIT SINGH FOR CONGRESS	SINGH, AMIT KUMAR	WENDY S. REIGHARD	\$123,334.00	0		Not Filed	\$30,834 (est)	\$900
2045	2045 C00439869	BALDERMANN FOR CONGRESS	BALDERMANN, TIM	KENDALL LYNCHEY	\$157,306.00	0		Not Filed	\$157,306 (est)	\$5,500
2046	C00436535	2046 C00436535 BENJAMIN LODMELL FOR U S CONGRESS	LODMELL, BENJAMIN	BENJAMIN LODMELL	\$121,932.00	0		Not Filed	\$40,644 (est)	\$900
2047	2047 C00443036	BENNY SHENDO FOR CONGRESS	SHENDO, BENNY, JR.	JOSEPH A. GARCIA	\$321,859.00	0		Not Filed	\$80,465 (est)	\$3,500
2048	2048 C00443762	CARRARO FOR CONGRESS	CARRARO, JOSEPH J.	C. BERT LEDBETTER	\$146,500.00	0		Not Filed	\$36,625 (est)	006\$

\$625	\$6,875	\$1,350
Not Filed \$20,185 (est)	Not Filed \$196,758 (est)	Not Filed \$44,273 (est) \$1,350
Not Filed	Not Filed	Not Filed
-		
-	-	7
\$141,295.00	\$1,180,545.00	\$177,090.00
FRANCIS G. \$141,295.00 CHASE	CHRISTY MILLER	LISA DARLENE \$177,090.00 MCKINNEY
CHASE, KEN	SHEEHAN, CINDY	MCKINNEY, RAYMOND
2050 C00417972 CHASE FOR SENATE CHASE, KEI	2051 C00450007 CINDY FOR CONGRESS	CITIZENS FOR RAY MCKINNEY
C00417972	C00450007	2052 C00444208
2050	2051	2052
<b>—</b>		

32,700		3,375		2,500
Not Filed \$62,966 (est) \$2,700		Not Filed \$57,169 (est) \$3,375		Not Filed \$85,051 (est) \$3,500
Not Filed		Not Filed		Not Filed
0		l		0
\$188,899.00 0		\$343,017.00		\$1,275,764.00
	BARTHE	ARY MARY WRIGHT \$343,017.00		CLAREMONT RUFF
SMITH, KENYA	J. H.	WRIGHT, MARY	۵.	COX, JOHN H.
COMMITTEE TO ELECT SMITH, KEI	KENYA 2 CONGRESS	COMMITTEE TO ELECT	MARY WRIGHT	2056 C00420224 COX 2008 COMMITTEE INC COX, JOHN H. CLAREMONT \$1,275,764.00 0
3852		2055 C00449876		00420224
C0045		12		<u> </u>
2054 C00453852		2055 C		2056 (

		Committee Money	Complete Name		Throughold	20	Densine Date	1000	-	Den of the
# {							neceipt Date Days Late	Days Late	<b>V</b>	reliaity
2058	C00447029	DARIUS FOR CONGRESS	SHAHINFAR, DARIUS	DARIUS SHAHINFAR	\$477,471.00	0	2/18/2009	18	82'083	\$140
2059	C00444984	DECUIR FOR CONGRESS	DECUIR, JASON MAURICE	WINSTON G. DECUIR, SR.	\$276,237.00	0		Not Filed	\$69,059 (est)	\$2,700
2060	C00381517	DEMOCRATIC ADVANCEMENT PAC		CHRISTOPHER R. CRAMER	\$641,680.00	0		Not Filed	\$119,843 (est)	\$4,500
2061	C00438077	ERIC EGLAND FOR CONGRESS	EGLAND, ERIC IVER	ANIA EGLAND	\$345,400.00	0		Not Filed	\$69,080 (est)	\$2,700
2062	C00294918	FANNIE LOU HAMER FEDERAL POLITICAL ACTION COMMITTE		EDWIN K. WASHINGTON	\$122,663.00	0		Not Filed	\$17,523 (est)	\$500
2063	C00431049	FINEGOLD COMMITTEE	FINEGOLD, BARRY	TRAVIS M. DROUIN	\$1,957,437.00	1		Not Filed	\$217,493 (est)	\$8,125
2064	C00395392	FRIENDS OF BARBARA FERRIS	FERRIS, BARBARA ANNE	BARBARA ANNE FERRIS	\$139,812.00	0		Not Filed	\$17,477 (est)	\$500
2065	C00444992	FRIENDS OF JOHN STROUP	STROUP, JOHN REA	ERIC LEO FUNK	\$142,927.00	0		Not Filed	\$47,642 (est)	\$900
2066	C00443077		DAVIS, CHARLES GREGORY	CHARLES F. ROBERTS, III	\$2,970,893.00	0		Not Filed	\$297,089 (est)	\$8,000
2067	C00445189	JACKSON FOR CONGRESS	JACKSON, MICHAEL	MURPHY F. BELL JR.	\$429,544.00	0		Not Filed	\$53,693 (est)	\$2,700
2068	C00441444	C00441444 JENNIFER DOUGHERTY FOR CONGRESS	DOUGHERTY, JENNIFER P.	KATHLEEN D. CASSIDY	\$345,248.00	0		Not Filed	\$49,321 (est)	\$900
2069	C00447052	JIM FORSYTHE FOR CONGRESS	FORSYTHE, JAMES RUSSELL	CHRISTOPHER LAWLESS	\$194,799.00	0		Not Filed	\$64,933 (est)	\$2,700
2070	C00442863	JONELROD.COM	ELROD, JONATHAN ROBERT	JAN MARSHALL	\$397,888.00	0		Not Filed	\$56,841 (est)	\$2,700
2071	C00435586	KNIGHT FOR US SENATE	KNIGHT, FRANKLIN RANDOLPH, JR.	FRANKLIN RANDOLPH KNIGHT, JR.	\$581,239.00	-		Not Filed	\$83,034 (est)	\$4,375

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date Days Late	Days Late	FOA	Penalty
2072	C00001743 LOCAL LONG AFL-C AFL-C	LOCAL 1814 INTERNATIONAL LONGSHOREMENS ASSOC AFL-CIO POLITICAL ACTION AND EDUCATION FUND		ANTHONY	\$206,842.00	0	3/25/2009	Not Filed	\$10,213	\$500
2073	C00445460	LOTZ FOR CONGRESS	LOTZ, GEORGE BLAINE	LYNNE L. LOTZ	\$169,582.00	0		Not Filed	\$42,396 (est)	006\$
2074	C00409631	LOUISIANA REFORM PAC		JOHN C. SCHMIDT	\$451,239.00	0	2/27/2009	27	\$14,204	\$235
2075	C00443986	MARK ANDERSON FOR CONGRESS	ANDERSON, MARK	KOREY BOALS	\$298,449.00	0		Not Filed	\$59,690 (est)	\$2,700
2076	C00381277	OHIO COAL ASSOCIATION PAC		MICHAEL	\$182,844.00	0	3/1/2009	29	\$3,471	\$170
2077	C00446799	ONDER FOR CONGRESS	ONDER, ROBERT FRANK, JR.	PHIL	\$1,441,485.00	0		Not Filed	\$360,371 (est)	\$9,000
2078	C00165324	C00165324 PHILADELPHIA JOINT BOARD UNITE HERE TIPS		MILDRED	\$153,899.00	0	2/23/2009	23	\$330	\$140
2079	C00442558	PIPKIN FOR CONGRESS	PIPKIN, E. J.	STEPHEN S. HERSHEY, JR.	\$2,150,616.00	0		Not Filed	\$537,654 (est)	\$9,500
2080	C00363812	RECREATIONAL FISHING ALLIANCE, PAC		TONI STEFANO	\$104,891.00	2		Not Filed	\$20,978	\$750
2081	C00449629	REED FOR US SENATE 2008 INC	REED, CHRISTOPHER	KIMBERLY REED	\$117,880.00	0		Not Filed	\$29,470 (est)	\$900
2082	C00433128	RICARDO MUNOZ FOR CONGRESS	MUNOZ, RICARDO	CARMEN FLORES- RANCE	\$690,313.00	0		Not Filed	\$172,578 (est)	\$5,500.00
2083	C00437152	C00437152 SCONTRAS FOR CONGRESS	SCONTRAS, DEAN P.	JANE E. FAULKNER	\$776,540.00	0		Not Filed	\$129,423 (est)	\$4,500

\$3,500	
\$81,691 (est)	
Not Filed	
0	
\$653,524.00	
WILLIAM TODD LONG	
LONG, WILLIAM TODD	
TODD LONG FOR CONGRESS	
2085 C00430520	
2085	

\$250	\$140	\$6,500
\$2,832	\$6,881	Not Filed \$203,505 (est)
Not Filed	18	Not Filed
3/5/2009	2/18/2009	
0	0	0
\$104,354.00	\$105,456.00	\$610,514.00 0
DOUGLAS R. SHARPS	KENNETH PON	MARIA SCHILLACI
		WYNE, JAMSHAD
TROVER SOLUTIONS INC	UNITED DEMOCRATIC CAMPAIGN OF ALAMEDA COUNTY	WYNE FOR CONGRESS
C00340828	C00403774	2088 C00451831
980	2087	2088
	R. \$104,354.00 0 3/5/2009 Not Filed \$2,832	DOUGLAS R. \$104,354.00 0 3/5/2009 Not Filed \$2,832 SHARPS KENNETH PON \$105,456.00 0 2/18/2009 18 \$6,881

### BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)
Withdrawal and Resubmission of Reason	<b>)</b>
To Believe Recommendation - 2008 Year	Ś
End Report for the Administrative Fine	)
Program:	)
ALASKANS FOR CUDDY, and BRUCE E	) AF# 2043
ELIASEN as treasurer;	)
AMIT SINGH FOR CONGRESS, and	) AF# 2044
WENDY S REIGHARD as treasurer;	)
BALDERMANN FOR CONGRESS, and	) AF# 2045
LYNCHEY, KENDALL as treasurer;	)
BENJAMIN LODMELL FOR US	) AF# 2046
CONGRESS, and BENJAMIN LODMELL	)
as treasurcr;	)
BENNY SHENDO FOR CONGRESS, and	) AF# 2047
JOSEPH A GARCIA as treasurer;	)
CARRARO FOR CONGRESS, and C	) AF# 2048
BERT LEDBETTER as treasurer;	)
CHASE FOR SENATE, and FRANCIS G	) AF# 2050
CHASE as treasurer;	j
CINDY FOR CONGRESS, and CHRISTY	) AF# 2051
MILLER as treasurer;	<b>)</b>
CITIZENS FOR RAY MCKINNEY, and	) AF# 2052
LISA DARLENE MCKINNEY as	)
treasurer;	)
COMMITTEE TO ELECT KENYA 2	) AF# 2054
<del> </del>	) Ar# 2034 \
CONGRESS, and ROLAND BARTHE as	<b>,</b>
treasurer; COMMITTEE TO ELECT MARY	) } AF# 2055
WRIGHT, and MARY WRIGHT as	<i>j nem 2033</i> }
treasurer;	<b>'</b>
COX 2008 COMMITTEE INC, and RUFF,	) ) AF# 2056
CLAREMONT as treasurer;	) <del>211 11 2000</del>
OTH INVITATION IN CLANDING AND ALL AND	,

DARIUS FOR CONGRESS, and	) AF# 2058
SHAHINFAR, DARIUS as treasurer;	)
DECUIR FOR CONGRESS, and	) AF# 2059
WINSTON G DECUIR SR as treasurer;	)
DEMOCRATIC ADVANCEMENT PAC,	) AF# 2060
and CRAMER, CHRISTOPHER R. as	)
treasurer;	)
ERIC EGLAND FOR CONGRESS, and	) AF# 2061
EGLAND, ANIA as treasurer;	)
FANNIE LOU HAMER FEDERAL	) AF# 2062
POLITICAL ACTION COMMITTE, and	)
EDWIN K WASHINGTON as treasurer;	)
FINEGOLD COMMITTEE, and TRAVIS	) AF# 2063
M DROUIN as treasurer;	)
FRIENDS OF BARBARA FERRIS, and	) AF# 2064
BARBARA ANNE FERRIS as treasurer;	)
FRIENDS OF JOHN STROUP, and ERIC	) AF# 2065
LEO FUNK as treasurer;	)
GREG DAVIS FOR CONGRESS, and	) AF# 2066
CHARLES F ROBERTS III as treasurer;	)
JACKSON FOR CONGRESS, and	) AF# 2067
MURPHY F BELL JR as treasurer;	)
JENNIFER DOUGHERTY FOR	) AF# 2068
CONGRESS, and KATHLEEN D	)
CASSIDY as treasurer;	)
JIM FORSYTLE FOR CONGRESS, and	) AF# 2069
CHRISTOPHER LAWLESS as treasurer;	)
JONELROD.COM, and JAN MARSHALL	) AF# 2070
as treasurer;	j
KNIGHT FOR US SENATE, and	) AF# 2071
FRANKLIN RANDOLPH KNIGHT JR as	j
treasurer;	j
LOCAL 1814 INTERNATIONAL	) AF# 2072
LONGSHOREMENS ASSOC AFL-CIO	j
POLITICAL ACTION AND EDUCATION	j
FUND, and ANTHONY GRAFFINO as	j
treasurer;	j
LOTZ FOR CONGRESS, and LYNNE L	) AF# 2073
LOTZ as treasurer;	)
LOUISIANA REFORM PAC, and	) AF# 2074
SCHMIDT, JOHN C as treasurer;	)
MARK ANDERSON FOR CONGRESS,	) AF# 2075
and BOALS, KOREY as treasurer;	)

OIIIO COAL ASSOCIATION PAC, and	) AF# 2076
CAREY^MICHAEL^^ as treasurer;	)
ONDER FOR CONGRESS, and PHIL	) AF# 2077
ECKELKAMP as treasurer;	)
PHILADELPHIA JOINT BOARD UNITE	) AF# 2078
HERE TIPS, and MS MILDRED	)
SALDANA as treasurer;	)
PIPKIN FOR CONGRESS, and STEPHEN	) AF# 2079
S HERSHEY JR as treasurer;	)
RECREATIONAL FISHING ALLIANCE,	) AF# 2080
PAC, and TONI STEFANO as treasurer;	)
REED FOR US SENATE 2008 INC, and	) AF# 2081
KIMBERLY REED as treasurer;	)
RICARDO MUNOZ FOR CONGRESS,	) AF# 2082
and CARMEN FLORES-RANCE as	)
treasurer;	)
SCONTRAS FOR CONGRESS, and	) <b>AF# 2083</b>
GORDON A DAVIS as treasurer;	)
TODD LONG FOR CONGRESS, and	) AF# 2085
LONG, WILLIAM TODD MR. as	)
treasurer;	)
TROVER SOLUTIONS INC, and	) AF# 2086
DOUGLAS R SHARPS as treasurer;	)
UNITED DEMOCRATIC CAMPAIGN OF	) AF# 2087
ALAMEDA COUNTY, and KENNETH	)
PON CPA as treasurer;	)
WYNE FOR CONGRESS, and MARIA	) AF# 2088
SCHILLACI as treasurer;	)

### **CERTIFICATION**

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby certify that on April 08, 2009 the Commission took the following actions on the Withdrawal and Resubmission of Reason To Believe Recommendation - 2008 Year End Report for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated April 07, 2009, on the following committees:

AF#2043 Decided by a vote of 6-0 to: (1) find reason to believe that ALASKANS FOR CUDDY, and BRUCE E ELIASEN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2044 Decided by a vote of 6-0 to: (1) find reason to believe that AMIT SINGH FOR CONGRESS, and WENDY S REIGHARD as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2045 Decided by a vote of 6-0 to: (1) find reason to believe that BALDERMANN FOR CONGRESS, and LYNCHEY, KENDALL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2046 Decided by a vote of 6-0 to: (1) find reason to believe that BENJAMIN LODMELL FOR U S CONGRESS, and BENJAMIN LODMELL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would he the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2047 Decided by a vote of 6-0 to: (1) find reason to believe that BENNY SHENDO FOR CONGRESS, and JOSEPH A GARCIA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2048 Decided by a vote of 6-0 to: (1) find reason to believe that CARRARO FOR CONGRESS, and C BERT LEDBETTER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Baucrly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2050 Decided by a vote of 6-0 to: (1) find reason to believe that CHASE FOR SENATE, and FRANCIS G CHASE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2051 Decided by a vote of 6-0 to: (1) find reason to believe that CINDY FOR CONGRESS, and CHRISTY MILLER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2052 Decided by a vote of 6-0 to: (1) find reason to believe that CITIZENS FOR RAY MCKINNEY, and LISA DARLENE MCKINNEY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2054 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT KENYA 2 CONGRESS, and ROLAND BARTHE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2055 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT MARY WRIGHT, and MARY WRIGHT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would he the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2056 Decided by a vote of 6-0 to: (1) find reason to believe that COX 2008 COMMITTEE INC, and RUFF, CLAREMONT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount

indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Peterson, Walther, and Weintraub voted affirmatively for the decision.

AF#2058 Decided hy a vote of 6-0 to: (1) find reason to believe that DARIUS FOR CONGRESS, and SHAHINFAR, DARIUS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2059 Decided by a vote of 6-0 to: (1) find reason to believe that DECUIR FOR CONGRESS, and WINSTON G DECUIR SR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2060 Decided by a vote of 6-0 to: (1) find reason to believe that DEMOCRATIC ADVANCEMENT PAC, and CRAMER, CHRISTOPHER R. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2061 Decided by a vote of 6-0 to: (1) find reason to believe that ERIC EGLAND FOR CONGRESS, and EGLAND, ANIA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Batterly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2062 Decided by a vote of 6-0 to: (1) find reason to believe that FANNIE LOU HAMER FEDERAL POLITICAL ACTION COMMITTE, and EDWIN K WASHINGTON as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2063 Decided by a vote of 6-0 to: (1) find reason to believe that FINEGOLD COMMITTEE, and TRAVIS M DROUIN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated

on the report; (2) send the appropriate letter. Commissioners Baucrly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2064 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS OF BARBARA FERRIS, and BARBARA ANNE FERRIS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would he the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Peterson, Walther, and Weintraub voted affirmatively for the decision.

AF#2065 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS OF JOHN STROUP, and ERIC LEO FUNK as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2066 Decided by a vote of 6-0 to: (1) find reason to believe that GREG DAVIS FOR CONGRESS, and CHARLES F ROBERTS III as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bancrly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2067 Decided by a vote of 6-0 to: (1) find reason to believe that JACKSON FOR CONGRESS, and MURPHY F BELL JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintrauh voted affirmatively for the decision.

AF#2068 Decided by a vote of 6-0 to: (1) find reason to believe that JENNIFER DOUGHERTY FOR CONGRESS, and KATHLEEN D CASSIDY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2069 Decided by a vote of 6-0 to: (1) find reason to believe that JIM FORSYTHE FOR CONGRESS, and CHRISTOPHER LAWLESS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2070 Decided by a vote of 6-0 to: (1) find reason to believe that JONELROD.COM, and JAN MARSHALL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount

indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2071 Decided by a vote of 6-0 to: (1) find reason to believe that KNIGHT FOR US SENATE, and FRANKLIN RANDOLPH KNIGHT JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Peterson, Walther, and Weintraub voted affirmatively for the decision.

AF#2072 Decided by a vote of 6-0 to: (1) find reason to believe that LOCAL 1814 INTERNATIONAL LONGSHOREMENS ASSOC AFL-CIO POLITICAL ACTION AND EDUCATION FUND, and ANTHONY GRAFFINO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the eivil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Ilunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2073 Decided by a vote of 6-0 to: (1) find reason to believe that LOTZ FOR CONGRESS, and LYNNE L LOTZ as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2074 Decided by a vote of 6-0 to: (1) find reason to believe that LOUISIANA REFORM PAC, and SCHMIDT, JOHN C as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Baucrly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2075 Decided by a vote of 6-0 to: (1) find reason to believe that MARK ANDERSON FOR CONGRESS, and BOALS, KOREY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2076 Decided by a vote of 6-0 to: (1) find reason to believe that OHIO COAL ASSOCIATION PAC, and CAREY^MICHAEL^^ as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2077 Decided by a vote of 6-0 to: (1) find reason to believe that ONDER FOR CONGRESS, and PHIL ECKELKAMP as treasurer violated 2 U.S.C. 434(a) and make a

preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGalin II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2078 Decided by a vote of 6-0 to: (1) find reason to believe that PHILADELPHIA JOINT BOARD UNITE HERE TIPS, and MS MILDRED SALDANA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2079 Decided by a vote of 6-0 to: (1) find reason to believe that PIPKIN FOR CONGRESS, and STEPHEN S HERSHEY JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2080 Decided by a vote of 6-0 to: (1) find reason to believe that RECREATIONAL FISHING ALLIANCE, PAC, and TONI STEFANO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2081 Decided by a vote of 6-0 to: (1) find reason to believe that REED FOR US SENATE 2008 INC, and KIMBERLY REED as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil moncy penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2082 Decided by a vote of 6-0 to: (1) find reason to believe that RICARDO MUNOZ FOR CONGRESS, and CARMEN FLORES-RANCE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2083 Decided by a vote of 6-0 to: (1) find reason to believe that SCONTRAS FOR CONGRESS, and GORDON A DAVIS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2085 Decided by a vote of 6-0 to: (1) find reason to believe that TODD LONG FOR CONGRESS, and LONG, WILLIAM TODD MR. as treasurer violated 2 U.S.C.

0092650475

434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2086 Decided by a vote of 6-0 to: (1) find reason to believe that TROVER SOLUTIONS INC, and DOUGLAS R SHARPS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2087 Decided by a vote of 6-0 to: (1) find reason to believe that UNITED DEMOCRATIC CAMPAIGN OF ALAMEDA COUNTY, and KENNETH PON CPA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil moncy penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2088 Decided by a vote of 6-0 to: (1) find reason to believe that WYNE FOR CONGRESS, and MARIA SCHILLACI as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Upil 8, 2009

Secretary of the Commission



April 13, 2009

Christopher R. Cramer as Treasurer Democratic Advancement PAC 600 1st Ave. Ste. 304 Seattle, WA 98104

C00381517 AF#: 2060

Dear Mr. Cramer:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a Year End Report of Receipts and Disbursements every calendar year. This report, covering the period through December 31, 2008, was due no later than January 31, 2009. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was not filed within thirty (30) days of the due date. You should file this report if you have not already done so.

The Act was amended in 1999 to permit the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On April 8, 2009, the FEC found that there is reason to believe ("RTB") that Democratic Advancement PAC and you as treasurer violated 2 U.S.C. § 434(a) by failing to file timely this report on or before January 31, 2009. Based on the FEC's schedules of civil money penalties at 11 C.F.R. 111.43, the amount of your civil money penalty calculated at the RTB stage is \$4,500. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-11.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. 11 C.F.R. § 111.34. Your payment is due within forty (40) days of the finding, or by May 18, 2009, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive

Level of Activity: \$119,843 Number of Days Late: Not Filed

Number of Previous Civil Money Penalties Assessed: 0

### To Pay the Calculated Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

### To Challenge the RTB Finding and/or Calculated Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found on page one, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or May 18, 2009. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty. The FEC will only consider challenges that are based on a factual error in the RTB finding; miscalculation of the calculated civil money penalty by the FEC; or your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, a failure of Commission computers or Commission-provided software despite you seeking technical assistance from Commission personnel and resources; a widespread disruption of information transmissions over the Internet that is not caused by the Commission's or your computer systems or Internet service provider; and severe weather or other disaster-related incident. 11 C.F.R. § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, negligence; delays caused by vendors or contractors; treasurer and staff illness, inexperience or unavailability; committee computer, software, or internet service provider failures; failure to know filing dates; and failure to use filing software properly. 11 C.F.R. § 111.35(d). Your response must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

Pursuant to the Commission's regulations, the "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

### If You Do Not Pay the Calculated Civil Money Penalty or Submit a Challenge.

If you do not pay the calculated civil money penalty or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Democratic Advancement Pac and you as treasurer violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.45.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. If you have questions regarding the payment of the calculated civil money penalty, please contact Rhiannon Magruder in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Sterier Swatter

Steven T. Walther

Chairman

### ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at RTB is \$4,500 for the 2008 Year-End Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by May 18, 2009. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

### PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

### PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Democratic Advancement PAC

FEC ID#: C00381517

AF#: 2060

PAYMENT DUE DATE: May 18, 2009

PAYMENT AMOUNT DUE: \$4,500

Tuesday, May 12, 2009 6:26 PM

To:
Federal Election Commission
Office of Administrative Review
999 E Street, NW
Washington DC, 20463
Steven T. Walther, Chairman

From:

Thomas A. Cramer President Christopher R. Cramer Treasurer Democratic Advancement PAC 18227 NE 24th Street Redmond, WA 98052

Committee # C00381517 AF#: 2003 & 2060

Dear Mr Walther.

This letter serves as a challenge to the RTB findings for AF# 2003 & 2060 and the calculated civil money penalty. Our organization demonstrated use of best efforts to file in a timely manner when we were prevented from doing so by reasonably unforeseen circumstances that were beyond our control. These circumstances have not been addressed by the FEC. The FEC software was altered so that it was not compatible with our normally available operating system. FEC staff was deliberately eliminated who could handle tech support to address our compatibility issues. In doing so the FEC did not comply with it's own legal mandate to provide technical support for more than one operating system thus giving one a monopoly and unequal access for other operating systems and a real monopoly to one manufacturer.

The fine is also being disputed and challenged because our activity during October and other reporting periods was much less. The amount of activity during October was less than \$20,000.

Sincerely yours,

Thomas A. Cramer President Christopher K. Cramer Treasurer



Via First Class Mail

May 13, 2009

Christopher R. Cramer, as Treasurer Democratic Advancement PAC 600 1st Ave., Ste. 304 Seattle, WA 98104

C00381517 AF# 2003 and 2060

Dear Mr. Cramer:

On May 13, 2009, the Commission received your written response ("challenge") via facsimile which is being reviewed by the Office of Administrative Review. If you have not already done so, please forward the original challenge to this office at 999 E St., NW, Washington, DC. If you have any questions regarding your challenge, please contact this Office on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

Sincerely,

Shawn Woodhead Werth

**Reviewing Officer** 

Office of Administrative Review

Date: May 21, 2009

# REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW CHALLENGE RECEIVED

**AF#: 2060** 

Committee Name: Democratic Advancement PAC

Committee ID#: C00381517

Committee Address (if different than in RTB letter):

Redmond, WA 98052

Treasurer Name (if different than in RTB finding): N/A

### Attachments:

Copy of RTB Circulation Reports, dated April 3, 2009 and April 7, 2009, and

RTB Certification, dated April 8, 2009: Previously Forwarded

Attachment #: N/A

Certified Return Receipt (to be forwarded at later date if not yet received)(Y/N): N

Attachment #: N/A

Other Relevant Telecoms (Y/N): N

Attachment #: N/A

Original Correspondence Received by RAD in Response to RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration (Y/N): Y

-2008 Year End Report Prior Notice, dated December 27, 2008.

-Non-Filer Letter, dated February 19, 2009.

-RTB Letter, dated April 13, 2009.

Attachment #: 2

Other RAD Information: (Y/N): Y - Memo to File, Copy of Returned RTB

Letter, Fax Transmittal Attachment#: 3

### **DECLARATION OF NATALIYA IOFFE**

- 1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
- 2. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Democratic Advancement PAC:
  - A) Prior Notice, dated December 27, 2008, referencing the 2008 Year End Report (sent via electronic mail to: crayc@dapac.org);
  - B) Non-Filer Letter, dated February 19, 2009, referencing the 2008 Year End Report;
  - C) Reason-to-Believe Letter, dated April 13, 2009, referencing the 2008 Year End Report.
- 3. I hereby certify that I have searched the Commission's public records and find that Democratic Advancement PAC has not yet filed the 2008 Year End Report with the Commission.
- 4. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided.

  This declaration was executed at Washington, D.C. on the 18<sup>th</sup> day of May, 2009.

Nataliya Ioffe

Chief, Compliance Branch Reports Analysis Division Federal Election Commission

Nat. Ex



### FEDERAL ELECTION COMMISSION

### PARTIES AND PACS

December 27, 2008

### **CURRENT REPORT DUE**

### **QUARTERLY AND MONTHLY FILERS**

		REG/CERT. &	
	REPORTING	OVERNIGHT	FILING
REPORT	$PERIOD^{-1}$	MAILING DEADLINE	DEADLINE
Year-End	11/25/08 - 12/31/08	01/31/09	01/31/09 <sup>2</sup>

Supplemental Filing Information available on pages 3 and 4 of this notice.

<sup>&</sup>lt;sup>1</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

<sup>&</sup>lt;sup>2</sup>Notice that this filing deadline falls on a weekend. Filing deadlines are not extended when they fall on nonworking days. Accordingly, reports filed by methods other than Registered, Certified or Overnight Mail, or electronically, must be received before the Commission's (or for committees supporting only Senate candidates, the Secretary of the Senate's) close of business on the last business day before the deadline.

### **REPORTING SCHEDULE FOR 2009**

### **SEMIANNUAL FILERS**

		REG./CERT. &			
	REPORTING	OVERNIGHT	FILING		
REPORT	PERIOD <sup>1</sup>	MAILING DEADLINE	DEADLINE		
Mid-Year	01/01/09 - 06/30/09	07/31/09	07/31/09		
Year-End	07/01/09 - 12/31/09	01/31/10	01/31/10 <sup>2</sup>		

### **MONTHLY FILERS**

REPORT	REPORTING PERIOD <sup>1</sup>	REG./CURT. & OVERNIGHT MAILING DEADLINE	FILING DEADLINE
February	01/01/09 - 01/31/09	02/20/09	02/20/09
March	02/01/09 - 02/28/09	03/20/09	03/20/09
April	03/01/09 - 03/31/09	04/20/09	04/20/09
May	04/01/09 - 04/30/09	05/20/09	05/20/09
June	05/01/09 - 05/31/09	06/20/09	06/20/09 <sup>2</sup>
July	06/01/09 - 06/30/09	07/20/09	07/20/09
August	07/01/09 - 07/31/09	08/20/09	08/20/09
September	08/01/09 - 08/31/09	09/20/09	09/20/09 <sup>2</sup>
October	09/01/09 - 09/30/09	10/20/09	10/20/09
November	10/01/09 - 10/31/09	11/20/09	11/20/09
December	11/01/09 - 11/30/09	12/20/09	12/20/09 <sup>2</sup>
Year-End	12/01/09 - 12/31/09	01/31/10	01/31/10 <sup>2</sup>

Supplemental Filing Information available on pages 3 and 4 of this notice.

<sup>&</sup>lt;sup>1</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

<sup>&</sup>lt;sup>2</sup>Notice that this filing deadline falls on a weekend. Filing deadlines are not extended when they fall on nonworking days. Accordingly, reports filed by methods other than Registered, Certified or Overnight Mail, or electronically, must be received before the Commission's (or for committees supporting only Senate candidates, the Secretary of the Senate's) close of business on the last business day before the deadline.

### SUPPLEMENTAL FILING INFORMATION

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

### WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report by January 31, 2009. Before a committee can stop filing with the FEC, it must file a termination report with the Commission. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

### **METHODS OF FILING REPORTS**

### **Electronic Filing**

Monthly and Quarterly Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. For additional information, call the Electronic Filing Office at (800) 424-9530 or (202) 694-1642 or visit our website at www.fec.gov/elecfil/electron.shtml.

Under the Commission's mandatory electronic filing regulations, political committees that receive contributions or make expenditures, including independent expenditures, in excess of \$50,000 in a calendar year, or that have reason to expect to do so, must file all reports with the FEC electronically. Other committees may voluntarily file electronically; however, any entity that files electronically, whether required to do so or not, must comply with the electronic filing rules.

### Registered & Certified Mail

Monthly and Quarterly Reports sent by Registered or Certified Mail must be postmarked on or before the mailing deadline to be considered timely filed. A committee sending its reports by Certified Mail should keep its certified mailing receipt with the U.S. Postal Service (USPS) postmark as proof of filing because the USPS does not keep complete records of items sent by Certified Mail. A committee sending its report by Registered Mail should keep its proof of mailing. Please note that a Certificate of Mailing from the USPS is not sufficient to prove that a report is timely filed using Registered, Certified or Overnight Mail.

### Overnight Mail

Monthly and Quarterly Reports filed via overnight mail will be considered timely filed if the report is received by the delivery service on or before the mailing deadline. "Overnight mail" includes Priority or Express Mail having a delivery confirmation, or an overnight delivery service with which the report is scheduled for next business day delivery and is recorded in the service's on-line tracking system.

### Other Means of Filing

Monthly and Quarterly Reports filed by any other means—including first class mail and courier—must be received by the Commission (or for committees supporting only Senate candidates, the Secretary of the Senate) before the close of business on the last business day before the filing deadline.

Forms are available for downloading and printing at the FEC website at www.fec.gov/info/forms.shtml.

### COMPLIANCE

Treasurer Responsibility. Treasurers of political committees are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. For additional information, see the Commission's Statement of Policy Regarding Treasurers

Subject to Enforcement Proceedings on the web site at www.fec.gov/law/policy/2004/notice2004-20.pdf

Administrative Fine Program. Under the Administrative Fine Program, political committees and their treasurers who fail to file their reports on time may be subject to civil money penalties up to \$16,000 (or more for repeat late- and non-filers). For additional information, visit the FEC website at www.fec.gov/af/af.shtml.

**Report Format.** In addition, political committees that file illegible reports or use non-FEC forms (except for FEC-approved, computer-generated forms) will be required to refile their reports.

Electronic Filers Must File Electronically. Electronic filers who instead file on paper, or who submit an electronic report (either by direct transmission, 3.5" diskette or CD) that does not pass the validation program by the 11:59 p.m. Eastern Time on the filing deadline, will be considered non-filers and may be subject to enforcement actions (including administrative fines).

### 48- AND 24-HOUR REPORTS OF INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures at any time during the calendar year—up to and including the 20th day before a special election—are required to disclose this activity within 48 hours each time that the expenditures aggregate \$10,000 or more in connection with that special election. This reporting requirement is in addition to the requirement to file 24-hour reports of independent expenditures each time disbursements for independent expenditures in connection with that election aggregate or exceed \$1,000 during the last 20 days—up to 24 hours—before an election. PACs and Party Committees must report independent expenditures that do not trigger the 48- or 24-hour reporting thresholds on their regularly-scheduled disclosure reports. See 11 CFR 104.4.

These reports are not required when a Party Committee or PAC makes a contribution directly to a candidate.

### **CHANGE IN FILING FREQUENCY**

Committees able to change their reporting schedule (for example, from monthly to quarterly) who wish to do so must notify the Commission in writing when filing a report under the committee's current schedule. All reports filed after providing such a notice of change in filing frequency must follow the new filing schedule. Electronic filers must file this request electronically. Committees may change their filing frequency no more than once per calendar year.



# FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

**RQ-7** 

CHRISTOPHER R. CRAMER, TREASURER DEMOCRATIC ADVANCEMENT PAC 600 1ST AVE. STE. 304 SEATTLE, WA 98104

IDENTIFICATION NUMBER: C00381517

REFERENCE: YEAR-END REPORT 11/25/2008 - 12/31/2008

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. PLEASE NOTE THAT ELECTRONIC FILERS MUST SUBMIT THEIR REPORTS ELECTRONICALLY, AS PER 11 CFR \$104.18. A COPY OF THE REPORT OR RELEVANT PORTIONS MUST ALSO BE FILED WITH THE SECRETARY OF THE STATE OR EQUIVALENT STATE OFFICER UNLESS THE STATE IS EXEMPT FROM THE PEDERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES. YOU CAN VERIFY THE COMMISSION'S RECEIPT OF ANY DOCUMENTS SUBMITTED BY YOUR COMMITTEE ON THE FEC WEBSITE AT WWW.FEC.GOV.

THE FAILURE TO TIMELY FILE THIS REPORT MAY RESULT IN CIVIL MONEY PENALTIES, AN AUDIT OR LEGAL ENFORCEMENT ACTION. THE CIVIL MONEY PENALTY CALCULATION FOR LATE REPORTS DOES NOT INCLUDE A GRACE PERIOD AND BEGINS ON THE DAY FOLLOWING THE DUE DATE FOR THE REPORT. DUE TO HEIGHTENED SECURITY SCREENING MEASURES, DELIVERY OF MAIL BY THE US POSTAL SERVICE MAY BE DELAYED. THE COMMISSION RECOMMENDS THAT YOU SUBMIT YOUR REPORT VIA OVERNIGHT DELIVERY OR COURIER SERVICE.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT CHRISTOPHER RITCHIE AT OUR TOLL FREE NUMBER (800)424-9530. OUR DIRECT LOCAL NUMBER IS (202)694-1130.

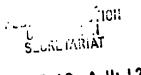
SINCERELY,

PATRICIA CARMONA

ASSISTANT STAFF DIRECTOR

REPORTS ANALYSIS DIVISION (RAD)





2009 JUL 10 A 11: 12

July 9, 2009

SENSITIVE

### **MEMORANDUM**

To:

The Commission

Through:

Robert A. Hickey

Staff Director .

From:

John D. Gibson

Chief Compliance Officer

Shawn Woodhead Wert

Reviewing Officer

Office of Administrative Review

By:

Maureen Benitz

Reviewing Analyst

Subject:

Reviewing Officer Recommendation AF# 2003 and 2060 -

Democratic Advancement PAC and Christopher R. Cramer, as

Treasurer (C00381517)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to the respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

Attachment



# FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

July 9, 2009

# REVIEWING OFFICER RECOMMENDATION OFFICE OF ADMINISTRATIVE REVIEW ("OAR")

AF# 2003 and 2060 - Democratic Advancement PAC and Christopher R. Cramer, as Treasurer (C00381517)

On March 31, 2009 and April 8, 2009, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2008 30 Day Post-General and Year-End Reports and made a preliminary determination that the civil money penalty was \$4,500 for each report, based on the schedule of penalties at 11 C.F.R. § 111.43. They were notified by the Reports Analysis Division ("RAD") on April 6 and 13, 2009, respectively, of the Commission's RTB findings and civil money penalties. Both RTB letters were returned to RAD. After several attempts to contact the Committee to obtain a viable fax number, the RTB letters were successfully faxed on May 12, 2009.

### Respondents' Response

On May 13, 2009, the Commission received the written response ("challenge") from the Treasurer as well as the Committee's President for both cases. They state that they used their best efforts to file the reports, but were prevented from doing so by reasonably unforeseen circumstances. Specifically, the FECFile software was altered in a manner that made it incompatible with their normally available operating system. In addition, the FEC deliberately eliminated the staff member who was able to assist the Committee with their filing needs. By supporting only one operating system, the FEC is not complying with the legal mandate to provide technical support for more than one operating system. Lastly, they state that the reportable activity during October and other reporting periods was much less than \$20,000 and, therefore, they also dispute the amount of the fines.

### **Analysis**

To date, the 2008 30 Day Post-General and Year-End Reports have not been filed.

The Federal Election Campaign Act ("Act") states that the treasurer of committee not authorized by a candidate shall file, in an election year, reports for the period ending November 24 no later than December 4 and for the period ending December 31 no later than January 31. 2 U.S.C. § 434(a)(4)(A)(i) and (iii) and 11 C.F.R. § 104.5(c)(1)(i) and (iii). Political committees required to file reports with the Commission must file in an electronic format if they received or expect to receive contributions or made or expect to make expenditures in a calendar year aggregating in excess of \$50,000. 2 U.S.C. § 434(a)(11) and 11 C.F.R. § 104.18(a). Reports filed electronically must be

received and validated at or before 11:59 pm Eastern Standard/Daylight Time on December 4 for the 30 Day Post-General Report and January 31 for the Year-End Report to be timely filed. 11 C.F.R. §§ 100.19(c) and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Their challenge primarily focuses on electronic filing related issues. Their first issue is that the FECFile software was altered in a way that rendered it incompatible with their operating system. In the Electronic Filing Office's ("EFO") response, the Information Technology Division ("ITD") Manager states that "between the time the committee successfully uploaded the July Quarterly Report (July 22) and March 10, 2009, when FECFile, Version 6.3.1.0, was released, there were no changes to the software, or to the operating system options compatible with the software." Thus, the same FECFile software version, 6.2.1.0, that they used to successfully file the 2008 new and amended July Quarterly Reports (in July 2008 and January 2009) is the same version they would have used to file the 30 Day Post-General and Year-End Reports.

The ITD Manager also states that the EFO staff explained to the Committee's representative in October 2008 that an operating system other than Windows is not supported. This is made clear from the information provided to electronic filers at the Electronic Filing section of the Commission's website as well as in the FECFile User's Manual for unauthorized committees. The website article and User's Manual give electronic filers the minimum Windows operating system requirements they need to use the FECFile software and clearly state that no other operating system is supported.

Subsequent to the successful filing of the July Quarterly Report, the EFO and RAD staff spoke with several representatives of the Committee about their use of the Linux operating system. During their telephone conversations, the EFO staff stressed that the Linux operating system is not supported by FECFile and they must use a Windows based system. The Committee's President told the RAD Analyst on October 22, 2008 that they had purchased new Windows based computers, and in a November conversation said he would contact the EFO staff for help with the software. The last contact recorded by the EFO with the Committee occurred on April 3, 2009, when Ms. Cramer left a voicemail explaining that the Committee has moved to a Microsoft based system and should not experience filing issues. She also stated that her report was late and would try to call back the next day. There is no record of a telephone conversation on April 4, 2009 or later.

The second electronic filing related issue raised in the challenge is that the FEC staff member who helped them in the past was deliberately eliminated. In the ITD Manager's response, he says that this is not accurate and explains that the staff member who helped the Committee in the past, Mr. Carlos Luna, has not had a leave of absence since first assisting them with their compatibility problems, and is the person who researched and found the Wine emulator, which allowed them to upload their 2008 July Quarterly Report. The same is true of Mr. Lally who most recently contacted them in March 2009 to offer help filing their reports.

The third electronic filing related issue presented in the challenge is that the Commission did not comply with its own mandate to provide technical assistance for more than one operating system. A response similar to this was submitted to the Commission for two earlier cases involving this Committee – AF# 1849 and AF# 1893. By letter dated May 6, 2009, OAR requested clarifying information from the respondents: which federal laws they are referring to and require that the Commission provide electronic filing software that is compatible with more than one operating system. This letter was sent via certified mail to their address of record. To date, it has not been claimed by the respondents. On May 15, a copy of the letter was sent via FedEx to an alternate address (

Redmond, WA) for the Committee. On May 18, the FedEx letter was delivered. To date, no response has been received from the respondents. Therefore, it is unclear what mandate they are referring to as the request for clarifying information remains unanswered.

The Act states that the Commission must promulgate standards for software vendors to use to develop electronic filing software and to make a copy of the software available to each person required to electronically file reports. 2 U.S.C. § 434(a)(12). The Commission's regulations at 11 C.F.R. § 104.18(d) require that electronically filed reports shall conform to the technical electronic filing specifications requirements. In addition to its own FECFile software, the Commission provides on its website a list of software vendors who offer electronic filing software as well as programming tools for software vendors. Thus, the Commission has complied with the Act as it has promulgated electronic filing software standards, developed technical specification requirements, and provided electronic filers with several software sources: the Commission's electronic filing software (which it provides for free) or electronic filing software provided by other vendors.

On December 5, 2008 and February 1, 2009, a 12-hour non-filer email notification was sent to tam@dapac.org and barbara\_cramer28@yahoo.com, respectively, alerting them that the 30 Day Post-General and Year-End Reports had not been filed. There is no record of the Committee calling the EFO for assistance after receiving these emails.

The fourth issue raised in the Committee's challenge references their level of activity. They state "our activity during October and other reporting periods was much less. The amount of activity during October was less than \$20,000." At the time of the RTB finding, the Commission estimated the level of activity to be \$119,843, for each report, because no report was received. 11 C.F.R § 111.43(a)(2)(ii), (d) and (e). To date, these reports have not been filed, and thus, the fines remain the same as at the time of the RTB findings. If they file reports and disclose an actual level of activity which is lower, the civil money penalties may be reduced based on the fine schedules at 11 C.F.R § 111.43(a)(2).

The administrative fine regulations specifically state that failure to use filing software properly is not a reasonably unforeseen circumstance. 11 C.F.R § 111.35(d). Their challenge fails to address any of the three valid excuses at 11 C.F.R § 111.35(b).

These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that were beyond their control and they filed the report no later than 24 hours after the end of these circumstances.

Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$4,500 in each case.

### **OAR Recommendations**

- (1) Adopt the Reviewing Officer recommendation for AF# 2003 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2003 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$4,500;
- (3) Adopt the Reviewing Officer recommendation for AF# 2060 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination;
- (4) Make a final determination in AF# 2060 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$4,500; and
- (5) Send the appropriate letter.

Reviewing Analyst: Maureen Benitz

### **Attachments**

Attachment 1 - Challenge Received from Respondents	
Attachment 2 –	
Attachment 3 –	
Attachment 4 – Declarations from RAD,	
Attachment 5 Declaration from OAP	

### **DECLARATION OF MAUREEN BENITZ**

- 1. I am the Reviewing Analyst in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Analyst, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2. The 2008 30 Day Post General Report is due December 4, 2008. If electronically filed, it must be received and validated by the Commission at or before 11:59 p.m., Eastern Standard/Daylight Time on December 4 to be timely filed.
- 3. The 2008 Year-End Report is due January 31, 2009. If electronically filed, it must be received and validated by the Commission at or before 11:59 p.m., Eastern Standard/Daylight Time on January 31, 2009 to be timely filed.
- 4. It is the practice of the Commission's Electronic Filing Office to document all calls to or from committees regarding an error message they receive while using the FECFile software. It is also this Office's practice to maintain an Electronic Filing section on the Commission's web site at http://www.fec.gov/elecfil/electron.shtml for the use of committees and treasurers who are electronic filers and want information about the FECFile software. Included in this section are: a list of the minimum system requirements to use FECFile; the FECFile Update List, which lists the updates made during each new build release; programming tools for software vendors; and a User's Manual for PAC & Party Committees.
- 5. I hereby certify that I have searched the Commission's public records and that the document identified herein is a true and accurate copy of Page 1 of the Summary Page for the amended July Quarterly Report electronically filed by the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer. According to Commission records, the report was received on January 6, 2009.
- 6. Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 8th of July, 2009.

Maureen Benitz

Reviewing Analyst

Office of Administrative Review Federal Election Commission

**FEC** FORM 3Y

FE6AN026

## **REPORT OF RECEIPTS AND DISBURSEMENTS**

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#### VIA OVERNIGHT DELIVERY

July 13, 2009

Christopher R. Cramer, as Treasurer Democratic Advancement PAC 600 1st Ave., Ste. 304 Seattle, WA 98104

C00381517 AF# 2003 and 2060

Dear Mr. Cramer:

On March 31, 2009 and April 8, 2009, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Democratic Advancement PAC and you, as Treasurer ("respondents"), violated 2 U.S.C. § 434(a) for failing to file the 2008 30 Day Post General and Year-End Reports. The Commission also made a preliminary determination that the civil money penalty was \$4,500 for each report based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing the written response submitted by you and any supplemental information submitted Commission staff, the Reviewing Officer has recommended that the Commission make a final determination in this matter. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463. As a result of the anthrax threat in the Washington, DC area, US Postal Service mail delivery to federal agencies, including the Commission, has been interrupted. Until regular mail delivery resumes, you may also file your written response with the Commission Secretary via facsimile (202-208-3333) or by courier at the same address (if you use an overnight delivery service, please use zip code 20004 instead of zip code 20463). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

Reviewing Analyst

Office of Administrative Review

### DAPAC

**Democratic Advancement PAC** 

600 1st Avenue, Ste. 305 Seattle, WA 98104 Phone: 206-382-0222

Website: dapac.org

To: Commission Secretary Federal Election Commission 999 E Street, NW Washington D.C.

Committee #C00381517

FEDERAL ELECTION
COMMISSION
SECRETARIAT
SECRETARIAT

AF# 2003 and 2060

Dear Sir:

The response received and the review offered is both factually incorrect and does not respond directly to my objections to the fines.

The letter and accompanying documentation dated July 18,2009 by Maureen Benitz does not address my willingness to file written reports nor the compliance to regulations 11 C.F.R. 104.18(d) that the FEC is mandated to adhere to.

We maintain the right to have support for a generally available operating system namely the LINUX operating system. The FEC by so doing has screened out people from using software of their choice. Updates produced by the FEC add further discrimination and do not allow other vendors to use and coordinate their adherence. The architecture of the government system should be open sourced and available for LINUX designers to modify in a timely manner their software.

The FEC in so doing violates 2U.S.C. 434(a) (12) which states that the commission must promulgate standards for software vendors to use to develop electronic filing software. I have yet to receive the architecture produced in enough detail to modify our software to respond to changes. Please send our committee a copy.

We will produce a copy of our reports as soon as possible in coordination with your staff and the level of activity is much lower than you allege.

Sincerely,

Christopher R. Cramer

Thomas A. Cramer

101 23 09 06:54p Dapac





2009 SEP 21 A 10: 44

SENSITIVE

September 21, 2009

#### **MEMORANDUM**

To:

The Commission

Through:

Robert A. Hickey Pfu R.H.

Staff Director

From:

John D. Gibsor Officer
Chief Compliance Officer

Shawn Woodhead Wen

Reviewing Officer

Office of Administrative Review

By:

Maureen Benitz

Reviewing Analyst

Subject:

Final Determination Recommendation in AF# 2003 and 2060 -

Democratic Advancement PAC and Christopher R. Cramer, as

Treasurer (C00381517)

On March 31 and April 8, 2009, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2008 30 Day Post-General and Year-End Reports. As a result, the Commission also made a preliminary determination on those dates that the civil money penalty was \$4,500 for each report based on the schedule of penalties at 11 C.F.R. § 111.43.

On May 13, 2009, the Commission received their written response ("challenge") for both cases. After reviewing the challenge, the Reviewing Officer's recommendation ("ROR") dated July 9, 2009 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. The Reviewing Officer recommended that the Commission make a final determination that they violated 2 U.S.C. § 434(a) and assess a \$4,500 civil money penalty in each case because they submitted no evidence that a factual error was made in the RTB finding, that the penalty was miscalculated at RTB or that they used best efforts to file on time. 11 C.F.R. § 111.35(b).

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the ROR. 11 C.F.R. § 111.36(f). A response was received from the Treasurer and Committee's President on July 24, 2009.

The Committee's response primarily focuses on the use of Linux as an operating system ("Linux") and the Commission's failure to support Linux, the same issue raised in the challenge and addressed in the ROR. To address new facts about this issue, the Reviewing Officer requested clarifying information from the Electronic Filing Office ("EFO") on August 6, 2009. On August 11, the EFO responded to OAR's request.

As both EFO responses indicate, the respondents' inability to file the reports is not a result of the government's failure to offer open source software or the Commission's failure to offer its FECFile software ("FECFile") for Linux users. Their failure to file the 30 Day Post-General and Year-End Reports is the result of their failure to use software properly despite numerous attempts to help them or unavailability of the person (Ms. Cramer's son) who installed Linux on their computer and handled FECFile updates. Both of these circumstances – failure to use filing software properly and unavailability of committee staff - are not considered reasonably unforeseen and beyond their control. 11 C.F.R. § 111.35(c) and (d).

The respondents contend that the government's "architecture ... should be open sourced and available for Linux designers to modify [their software] in a timely manner." The EFO response points out that they could have selected vendor electronic filing software instead of FECFile. Some vendors provide electronic filing software packages which do not require a Windows based platform and are not dependent on any particular operating system. A list of vendors and contact information is on the Commission's website. With respect to their request for a copy of the Commission's architecture, the EFO response indicates that this appears to be a request for the Vendor Tool Kit, also on the Commission's website. Anyone can download the Kit which contains all the electronic filing specifications a developer needs to file a report. Mr. Lally left a message for them on August 11, 2009 with directions on how to find the Kit on the website.

The respondents chose to use FECFile as Commission records show that they filed all of their reports since April 2004, 24 reports in total, using FECFile. It appears that at least some of these reports were filed with Linux according to Ms. Cramer's July 22, 2008 conversation with Mr. Luna. As of that date, based on the assistance and instructions provided to Ms. Cramer by Mr. Luna, they should have been able to file the 30 Day Post-General and Year-End Reports on time with Linux as they had a Windows emulator to use for FECFile.

Three months later and two months before the Post-General Report was due, Committee representatives told the EFO and RAD staff that they were purchasing, or had purchased, a Windows based computer to file their reports. In early April 2009, six months later, Ms. Cramer returned Mr. Lally's March 20 call and left a message for him: they had moved to a Windows based product and would no longer have filing issues. At that point, they should have been able to file both reports using FECFile with a Windows operating system. Nonetheless, four months later on July 29, Ms. Cramer contacted the EFO again for help rebuilding her data set. The EFO staff gave her instructions to do this; Ms. Cramer said she would call back if she had further problems.

The respondents have had multiple opportunities to file these reports using FECFile on Linux with a Windows emulator, FECFile on a Windows operating system,

or vendor electronic filing software which does not require a Windows operating system. The EFO staff also helped them on several occasions over a one-year period with recurring electronic filing issues (such as locating, converting, or constructing the Committee's data set; and rebuilding reports).

The respondents again raise the issue that the Committee's level of activity is lower than what the Commission estimated, and express a willingness to file reports on paper. On several occasions, they were notified that if their reports are filed and disclose a lower level of activity that the civil money penalties will be recalculated. After several unsuccessful attempts to contact them, the Reviewing Analyst obtained another telephone number for the President and was able to contact him. After several phone conversations, the President ultimately and electronically filed the 30 Day Post-General and Year-End Reports on September 16, 2009.

The 30 Day Post-General Report discloses \$20,710 in total receipts and \$14,827 in total disbursements for an actual level of activity of \$35,537. The Year End Report discloses \$5,715 in total receipts and \$4,850 in total disbursements for an actual level of activity of \$10,565. Both reports are non-election sensitive and considered not filed for purposes of the civil money penalty calculation. Had they been filed disclosing these levels of activity prior to the RTB finding, the amount of the civil money penalties would have been \$900 and \$500, respectively, based on the level of activity brackets and calculated as follows:  $$900 \times [1 + (.25 \times 0)]$  or \$900 and  $$500 \times [1 + (.25 \times 0)]$  or  $$900 \times [1 + (.25 \times 0)]$ 

The Reviewing Officer, therefore, recommends that the Commission make a final determination in both cases that they violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$900 in AF# 2003 (reduced from \$4,500) and \$500 in AF# 2060 (reduced from \$4,500).

#### **OAR Recommendations**

- (1) Adopt the Reviewing Officer recommendation for AF# 2003 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2003 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the 30 Day Post-General Report filed on September 16, 2009 (\$35,537) assess a civil money penalty of \$900;
- (3) Adopt the Reviewing Officer recommendation for AF# 2060 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination;
- (4) Make a final determination in AF# 2060 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the Year-End Report, filed on September 16, 2009 (\$10,565) assess a civil money penalty of \$500; and
- (5) Send the appropriate letter.

#### BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)
Final Determination Recommendation:	) AFs 2003 and 2060
Democratic Advancement PAC and	)
Christopher R. Cramer, as Treasurer	)
(C00381517)	)

#### **CERTIFICATION**

I, Mary W. Dove, Secretary of the Federal Election Commission, do hereby certify that on October 19, 2009, the Commission decided by a vote of 6-0 to take the following actions in AFs 2003 and 2060:

- 1. Adopt the Reviewing Officer recommendation for AF 2003 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination.
- 2. Make a final determination in AF 2003 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the 30 Day Post-General Report filed on September 16, 2009 (\$35,537) assess a civil money penalty of \$900.
- 3. Adopt the Reviewing Officer recommendation for AF 2060 involving the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, in making the final determination.

Federal Election Commission AFs 2003 and 2060 October 19, 2009

- 4. Make a final determination in AF 2060 that the Democratic Advancement PAC and Christopher R. Cramer, as Treasurer, violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the Year End Report, filed on September 16, 2009 (\$10,565) assess a civil money penalty of \$500.
- 5. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther and

Weintraub voted affirmatively for the decision.

Attest:

Secretary of the Commission

Page 2 of 2



October 22, 2009

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED

Christopher R. Cramer, as Treasurer Democratic Advancement PAC 600 1<sup>st</sup> Avenue, Suite 304 Seattle, WA 98104

C00381517 AF# 2003 and 2060

Dear Mr. Cramer:

On March 31, 2009 and April 8, 2009, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Democratic Advancement PAC and you, as Treasurer, violated 2 U.S.C. § 434(a) for failing to file the 2008 30 Day Post-General and Year-End Reports. By letters dated April 6 and 13, 2009, the Commission sent you notification of the RTB finding that included a civil money penalty calculated at RTB of \$4,500 for each report in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On May 13, 2009, the Office of Administrative Review received the written response from you challenging the RTB finding and civil money penalty.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and the written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Democratic Advancement PAC and you, as Treasurer violated 2 U.S.C. § 434(a) and assess a civil money penalty in the amount of \$4,500 for each report, in accordance with 11 C.F.R. § 111.43. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to you on July 13, 2009.

On September 16, 2009, the reports were electronically filed. Based on the reports' receipt, the Reviewing Officer recommended that the Commission reduce the RTB civil money penalty because it was calculated on an estimated level of activity, make a final determination that Democratic Advancement PAC and you, as Treasurer violated 2 U.S.C. § 434(a) and, based on the actual level of activity disclosed on the 30 Day Post-General Report filed September 16, 2009 (\$35,537) and the Year-End Report filed September 16, 2009 (\$10,565), assess a civil money penalty in the amount of \$900 and \$500, respectively, in accordance with 11 C.F.R. § 111.43.

On October 19, 2009, the Commission adopted the Reviewing Officer's recommendation, made a final determination that Democratic Advancement PAC and you, as Treasurer violated 2 U.S.C. § 434(a) and based on the level of activity disclosed on the 30 Day Post-General Report

filed September 16, 2009 (\$35,537) and the Year-End Report filed September 16, 2009 (\$10,565), assessed a civil money penalty of \$900 (reduced from the RTB civil money penalty of \$4,500) and \$500 (reduced from the RTB civil money penalty of \$4,500). It is based on the same factors used to calculate the civil money penalty at RTB except that the actual rather than the estimated level of activity was used. A copy of the final determination recommendation is attached.

#### If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the district court of the United States for the district in which the committee or treasurer reside, or transact business, requesting that the final determination be modified or set aside. See 2 U.S.C. § 437g(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

#### If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact the debtor and request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

#### If You Choose To Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, send the enclosed form and payment to the address on page 3 within 30 days of receipt of this letter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Maureen Benitz on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

On behalf of the Commission,

Steven T. Walther

Chairman

#### ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the civil money penalty is \$900 for the 2008 30 Day Post-General Report and \$500 for the 2008 Year-End Report.

This penalty should be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission PO Box 979058 St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC # 979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The form and payment are due within 30 days of receipt of this letter.

#### PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

#### PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Democratic Advancement PAC

FEC ID#: C00381517

AF#: 2003 and 2060

PAYMENT AMOUNT DUE: \$900 and \$500

# ELECTRONIC CERTIFICATION AGREEMENT FOR DEBTS SUBMITTED TO THE FINANCIAL MANAGEMENT SERVICE'S CROSS-SERVICING PROGRAM

This Agreement is submitted by: Federal Election Commission

Creditor Agency: Federal Election Commission

Date of Agreement: November 18, 2009

#### The Creditor Agency agrees that:

I. This Agreement covers all debts, including updates, changes, and modifications (Debts) submitted by Electronic Transmission on or after the date of this Agreement, by the above-named Creditor Agency ("Agency") to the Financial Management Service ("FMS"), a bureau of the U.S. Department of the Treasury, for collection through the FMS's Cross-Servicing Program (Cross-Servicing).;

- II. The Agency will submit debts to Cross-Servicing via Electronic Transmission of "Add Records" or "Update Records," as described in the attached Exhibit A. Each time the Agency submits a Debt via an Add Record or Update Record, the Agency is certifying the Debt;
- III. Any person who submits Debts via an Add Record or Update Record has or will have delegated authority to certify the Debts on behalf of the head of the Agency, and the Agency will provide a copy of this Agreement to any such person;
- IV. By submitting Debts via an Add Record or Update Record, the person submitting such Debts is certifying to the U.S. Department of the Treasury, under penalty of perjury pursuant to 5 U.S.C. § 5514, 31 U.S.C. § 3716, 31 U.S.C. § 3720A, and 28 U.S.C. § 1746, that to the best of his or her knowledge and belief, the following is true and correct:
  - 1. Valid Debts. The debts are delinquent, valid and legally enforceable in the amounts stated.
  - 2. No Bar to Collection. The debts are not subject to any circumstances that legally preclude or bar collection, including collection by offset. There are no foreclosures pending with respect to any collateral securing a debt. The Agency's records do not show that any debtor owing a debt has filed for bankruptcy protection. Alternatively, the Agency can clearly establish that any automatic stay has been lifted or is no longer in effect.

- 3. Administrative Offset and Tax Refund Offset. If the Agency has established a profile instructing FMS to refer debts to the Treasury Offset Program (TOP) on its behalf for the purposes of tax refund and administrative offset, the person submitting such debts will be certifying to the following:
  - a. The Agency has complied with all of the provisions of 31 U.S.C. § 3716, 31 U.S.C. § 3720A, 31 C.F.R. §§ 285.2 and 285.5, and the Federal Claims Collection Standards (31 C.F.R. Parts 900-904), as may be amended, as well as other statutes, regulations and policies applicable to collection by administrative offset and tax refund offset.
  - b. At least 60 days prior to the date of the certification (i.e., date of Electronic Transmission), the Agency has provided, or made a reasonable attempt to provide in accordance with applicable offset regulations, each debtor with:
    - written notification, at the debtor's most current known address, of the nature and the amount of the debt, the intention of the Agency to collect the debt through administrative offset and tax refund offset, including offset of State payments, and an explanation of the rights of the debtor;
    - ii. an opportunity to inspect and copy the records of the Agency with respect to the debt;
    - iii. an opportunity for review within the Agency of the determination of the Agency with respect to the debt, including the opportunity to present evidence that all or part of the debt is not past-due or legally enforceable; and
    - iv. an opportunity to enter into a written repayment agreement with the Agency
  - c. The Agency has considered any evidence presented by the debtor and determined that the amount of the debt is past-due and legally enforceable and there are no pending appeals of such determination.
  - d. The Agency has, at minimum, made the following reasonable efforts to obtain payment of the debt: demanded payment and provided the debtor with the notice and opportunities described in paragraph 3.b.

- e. For debts outstanding more than ten years on or before

  December 31, 2009, the notice described in paragraph 3.b. was sent to the
  debtor after the debt was outstanding for more than ten years, and that the
  debtor was afforded the rights described in paragraphs 3.b. 3.d. at that
  time. This requirement does not apply to any debt that could be collected
  by offset without regard to any time limitation prior to

  December 31, 2009 (e.g., student loans debts, judgments).
- 4. Due Process Compliance for Salary Offset. With respect to the offset of Federal salaries, if the Agency has authorized FMS to set up an agency profile so that all debts are referred to TOP for offset of federal salary payments:
  - a. The Agency has complied with all of the provisions of 5 U.S.C. § 5514, 5 C.F.R. §§ 550.1101-1110, and 31 CFR 285.7, as may be amended, as well as other statutes, regulations and policies applicable to collection by salary offset.
    - i. The Agency has provided, or made a reasonable attempt to provide, each debtor with the notice, opportunities, and considerations described in paragraphs 3.b., 3.c. and 3.e (if applicable) and the additional notices and opportunities, including the opportunity for waiver consideration and for a hearing, required for salary offset.
- 5. Consumer Reporting Agencies. The Agency has complied with all of the provisions of 31 U.S.C. § 3711(e) and the Federal Claims Collection Standards, as well as other statutes, regulations and policies applicable to the Agency's reporting of delinquent debts to consumer reporting agencies. The Agency has:
  - a. determined that the debts are valid and overdue:
  - b. notified the debtor, more than 60 days prior to the date of the certification:
    - i. that the debt is overdue,
    - ii. that the Agency intends to disclose to a consumer reporting agency that the debtor is responsible for the debt,
    - iii. of the specific information to be disclosed to the consumer reporting agency, and
    - iv. of the debtor's rights to an explanation of the claim, to dispute the information in the Agency's records about the claim, and to administrative repeal or review of the claim; and

c. upon the request of a debtor, provided for a review of any debtor's claim. including an opportunity for reconsideration of the initial decision on the claim.

In addition, no debtor has repaid or agreed to repay the claim under a signed repayment agreement or filed for review of the claim.

d. Interest and Penalties. The Agency has complied with all of the provisions of 31 U.S.C. § 3717 and the Federal Claims Collection Standards, as well as other statutes, regulations and policies applicable to Agency's assessment of interest, penalties and administrative costs. The Agency has mailed or hand-delivered a written notice to all debtors explaining the Agency's requirements concerning the charges.

CERTIFICATION: Pursuant to 28 U.S.C. § 1746, I certify that I have been delegated authority to execute this Agreement on behalf of the head of my agency.

Shawn Woodhead Werth

Director, Office of Administrative Review

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## ATTACHMENT A TREASURY CROSS-SERVICING PROGRAM CERTIFICATION TERMINOLOGY

For purposes of the foregoing Agreement, the following describes how creditor agencies submit debts via Electronic Transmission of computer records to the Cross-Servicing program. (Please note this form is not for use by creditor agencies that submit their debts via manual transmission.):

- 1. <u>Electronic Transmission</u>. Any transmission from creditor agencies to the Cross-Servicing Program via any form of electronic media (including, but not limited to, tapes, diskettes, and on-line access), as more particularly described in Step 7; Electronic Format in the "Cross-servicing Implementation Guide", dated November 1998, as may be amended or updated ("Cross Servicing Guide"), is an Electronic Transmission.
- 2. Add Records. Add Records are electronic records which creditor agencies use to add new debts to Cross-Servicing. Add Records are more particularly described in "Step 7; Agency File Formats" in the Cross Servicing Guide.
- 3. <u>Update Records</u>. Update Records are electronic records that creditor agencies use to update, change or modify information about debts previously transmitted to Cross-Servicing by creditor agencies. Update Records are more particularly described in "Step 7; Agency File Formats" in the Cross Servicing Guide.



### FEDERAL ELECTION COMMISSION Washington DC 20463

THIS IS THE <b>END</b> OF	FADMINISTRATIVE FINE CAS	SE# 2060
DATE SCANNED	1/22/10	
SCANNER NO.		
SCAN OPERATOR	733_	